

Tau positron emission tomography in preclinical Alzheimer's disease

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Abbreviations: A β = amyloid β ; ADNI = Alzheimer's Disease Neuroimaging Initiative; A4 = Anti-Amyloid Treatment in Asymptomatic Alzheimer's; BA = Brodmann Area; CSF = cerebrospinal fluid; CU = cognitively unimpaired; FTP = flortaucipir; HABS = Harvard Aging Brain study; LASSO = least absolute shrinkage selection operator; LEARN = Longitudinal Evaluation of Amyloid Risk and Neurodegeneration; ROI = region of interest; SUVR = standardized uptake value ratio

1 **Abstract**

2 Rates of tau accumulation in cognitively unimpaired older adults are subtle with magnitude and
3 spatial patterns varying in recent reports. Regional accumulation also likely varies in the degree
4 to which accumulation is amyloid β -dependent. Thus, there is a need to evaluate the pattern and
5 consistency of tau accumulation across multiple cognitively unimpaired cohorts, and how these
6 patterns relate to amyloid burden, in order to design optimal tau endpoints for clinical trials.

7 Using three large cohorts of cognitively unimpaired older adults, the Anti-Amyloid Treatment in
8 Asymptomatic Alzheimer's and companion study, Longitudinal Evaluation of Amyloid Risk and
9 Neurodegeneration (N=447), the Alzheimer's Disease Neuroimaging Initiative (N=420), and the
10 Harvard Aging Brain Study (N=190), we attempt to identify regions with high rates of tau
11 accumulation and estimate how these rates evolve over a continuous spectrum of baseline
12 amyloid deposition. Optimal combinations of regions, tailored to multiple ranges of baseline
13 amyloid burden as hypothetical clinical trial inclusion criteria, were tested and validated.

14 The inferior temporal cortex, fusiform gyrus and middle temporal cortex had the largest effect
15 sizes of accumulation in both longitudinal cohorts, when considered individually. When tau
16 regions of interest were combined to find composite weights to maximize the effect size of tau
17 change over time, both longitudinal studies exhibited a similar pattern – inferior temporal cortex,
18 almost exclusively, was optimal for participants with mildly elevated amyloid β levels. For
19 participants with highly elevated baseline amyloid β levels, combined optimal composite weights
20 were 53% inferior temporal cortex, 31% amygdala, and 16% fusiform. At mildly elevated levels
21 of baseline amyloid β , a sample size of 200/group required a treatment effect of 0.40-0.45 (40-
22 45% slowing of tau accumulation), to power an 18-month trial using the optimized composite.
23 Neither a temporal lobe composite nor a global composite reached 80% power with 200/group
24 with an effect size under 0.5.

25 The focus of early tau accumulation on the medial temporal lobe has resulted from the
26 observation that the entorhinal cortex is the initial site to show abnormal levels of tau with age.
27 However, these abnormal levels do not appear to be the result of a high rate of accumulation in
28 the short term, but possibly a more moderate rate occurring early with respect to age. While the
29 entorhinal cortex plays a central role in the early appearance of tau, it may be the inferior
30 temporal cortex that is the critical region for rapid tau accumulation in preclinical Alzheimer's
31 disease.

1 Introduction

2 Aberrant accumulation of amyloid β ($A\beta$) and tau proteins into plaques and tangles begins
3 decades before the onset of clinical signs of dementia. Numerous prevention trials are currently
4 underway to test the hypothesis that anti-amyloid approaches, if implemented early, will delay
5 future dementia onset.¹ The long delay between initial amyloid accumulation and clinically
6 meaningful decline makes demonstrating drug efficacy on clinical outcomes while treating early
7 particularly difficult.^{2,3} Although removal of amyloid may be one indicator of disease
8 modification, amyloid removal alone may not be well-correlated with clinical benefit in
9 clinically symptomatic individuals.⁴ Another approach to assess disease modification is to
10 determine whether a slowing of subtle, early cognitive decline will impact longer-term clinical
11 indicators.¹ However, assessing subtle short term cognitive change in preclinical Alzheimer's
12 disease is subject to measurement error, test version effects, regression to the mean and likely
13 influenced by a number of age-related changes not specific to Alzheimer's disease pathology,
14 such as vascular changes, TDP-43 accumulation, neurotransmitter dysfunction, mood and sleep
15 disturbances. Thus, there is an urgent need to identify and integrate biomarkers of disease
16 progression that demonstrate changes during the earliest stages of Alzheimer's disease.
17 Given that tangle deposition occurs early, and spread beyond the medial temporal lobe is thought
18 to occur downstream of initial amyloid accumulation,^{5,6} tau PET may hold promise as a measure
19 of disease progression in the early stages of Alzheimer's disease. Tau PET's close association
20 with clinically meaningful measures such as cognitive function⁷ demonstrate the potential for tau
21 PET to be used as a surrogate outcome for a clinical endpoint. If amyloid abnormalities are
22 prevented, and amyloid is a key catalyst of tau accumulation, then therapeutics targeting amyloid
23 should show a downstream effect mitigating further tau accumulation as has been reported in
24 trials of amyloid immunotherapy in symptomatic populations.^{8,9}
25 Studies from multiple independent aging cohorts have identified several sites where tau is
26 elevated in preclinical Alzheimer's disease, including the medial temporal lobe (entorhinal
27 cortex, parahippocampal gyrus, and amygdala), ventral and lateral temporal cortices, along with
28 precuneus, posterior cingulate, and lateral parietal lobe.¹⁰⁻¹⁴ Although longitudinal tau PET
29 studies in cognitively unimpaired (CU) individuals have been limited by smaller sample sizes
30 and short follow up time, many studies to date show significant accumulation in CU samples in
31 many of the same regions showing cross-sectional differences. However, these rates of

1 accumulation in CU are subtle and the precise spatial pattern and the magnitude of rates vary
2 across studies. Further, the degree to which regional tau accumulation is stronger in A β + CU
3 compared to A β - CU, has varied across studies. These inconsistencies may be influenced by
4 cohort demographics and PET processing methodologies, selection of target regions for
5 examination, along with reference region.¹⁵ It is also expected that tau accumulation across
6 different regions likely varies in the degree to which accumulation is amyloid-dependent. Thus,
7 there is a need to evaluate the pattern and consistency of tau accumulation across multiple CU
8 cohorts, and how these patterns relate to amyloid burden, in order to design optimal tau
9 endpoints for clinical trials.

10 The goal of this work was to identify a collection of regions that would optimally capture early
11 tau accumulation for use in a tau PET composite outcome in clinical trials for preclinical
12 Alzheimer's disease. In three large cohorts of cognitively unimpaired older adults, we attempt to
13 identify and validate regions with high rates of tau accumulation and estimate how these rates
14 evolve over a continuous spectrum of baseline amyloid deposition. Optimal combinations of
15 regions, tailored to varying ranges of baseline amyloid burden as hypothetical clinical trial
16 inclusion criteria, were tested and validated.

18 **Materials and methods**

19 *Participants*

20 Data were obtained from three independent cohorts: the Anti-Amyloid Treatment in
21 Asymptomatic Alzheimer's (A4) and companion study, Longitudinal Evaluation of Amyloid
22 Risk and Neurodegeneration (LEARN),¹ the Alzheimer's Disease Neuroimaging Initiative
23 (ADNI),¹⁶ and the Harvard Aging Brain study (HABS).¹⁷ All three studies were approved by the
24 Institutional Review Boards of all participating institutions. Informed written consent was
25 obtained from all participants at each site. The population in this study included A4/LEARN,
26 ADNI and HABS participants with measurements of both A β and tau PET and were cognitively
27 unimpaired at the time of their baseline tau scan.

29 *PET Imaging*

30 Amyloid data was processed using previously published cohort specific processing
31 streams. A4/LEARN (¹⁸F-Florbetapir): amyloid PET data was processed using a PET-only

1 pipeline, using an average across six cortical regions from the AAL atlas (anterior cingulate,
2 posterior cingulate, lateral parietal, precuneus, lateral temporal, and medial orbital frontal), and
3 was normalized to a whole cerebellum reference region to create standardized uptake value ratios
4 (SUVRs).¹⁸ ADNI (¹⁸F-Florbetapir): a global amyloid target region was calculated using four
5 FreeSurfer defined regions on each subject's corresponding structural T1 MRI (frontal,
6 cingulate, lateral parietal, and lateral temporal),¹⁹ and was normalized to a whole cerebellum
7 reference region to create SUVRs. HABS (¹¹C-PIB): a global amyloid target region was defined
8 using three FreeSurfer defined regions on each subject's corresponding structural T1 MRI
9 (frontal, lateral temporal, and retrosplenial), and was normalized using a cerebellar grey matter
10 reference region to create SUVRs.²⁰ A β -positivity was defined using previously established
11 thresholds, A4/LEARN: SUVR = 1.15 or an SUVR between 1.10 and 1.15 with a positive visual
12 read,¹⁸ ADNI = 1.10²¹ for ¹⁸F-Florbetapir measures, and HABS: SUVR = 1.28 for ¹¹C-PIB.¹⁷
13 Methods to acquire and process tau (¹⁸F-Flortaucipir) PET image data in A4/LEARN,²² ADNI
14 (Maass *et al.*, 2017) and HABS,²³ have been described previously. Thirty-five bilateral tau
15 regions of interest (ROIs) from the FreeSurfer cortical Aparc atlas²⁴ and subcortical Aseg atlas²⁵
16 were analyzed: the amygdala, entorhinal cortex, parahippocampal gyrus, fusiform, banks of the
17 superior temporal sulcus (bankssts), transverse temporal lobe, temporal pole, and the
18 inferior/middle/superior temporal lobes; the isthmus cingulate, insula, postcentral, rostral/caudal
19 anterior cingulate, precuneus, posterior cingulate, supramarginal gyrus, and the inferior/superior
20 parietal lobe; the pars orbitalis, pars triangularis, pars opercularis, lateral/medial orbitofrontal,
21 pre- and paracentral, rostral/caudal middle frontal, frontal pole and superior frontal lobe; and the
22 cuneus, lingual, pericalcarine, and lateral occipital lobe. A cerebellar grey matter reference
23 region was used for all three cohorts. All analyses were repeated using a cerebral white matter
24 reference region for comparison.

25 26 *Statistical Analysis*

27
28 Analyses were done in each of the three cohorts, separately. First, the cross-sectional
29 (A4/LEARN) associations between all 35 tau ROIs and global A β were characterized, as well as
30 the longitudinal (ADNI, HABS) changes over time in the same 35 ROIs. To form the flortaucipir
31 (FTP) PET composites, the A4/LEARN cohort was used for discovery – tau ROIs were selected

1 based on their strength of association with $A\beta$ and then optimized and validated in the
2 longitudinal cohorts, as detailed below.

3 In A4/LEARN, cross-sectional associations between global $A\beta$ SUVR and each of the tau ROIs
4 were estimated using ordinary least squares regression. FTP PET SUVRs from each of the 35
5 ROIs were regressed on age, sex and $A\beta$ SUVR. Natural cubic splines were used to capture any
6 nonlinear relationships between tau and $A\beta$. One internal spline knot, placed at the median $A\beta$
7 SUVR, resulted in two estimated parameters for the relationship between tau and $A\beta$. An F test
8 was done to compare model fits with and without the two spline parameters for $A\beta$. The F
9 statistic and residual degrees of freedom were used to approximate a correlation-like effect size
10 (scale -1 to 1) and a 95% confidence interval for the relationship between $A\beta$ and tau.

11 Longitudinal uptake in the FTP PET ROIs in ADNI and HABS was modeled using mixed
12 effects regression assuming an independent correlation structure, conditional on a random
13 intercept. FTP PET models included age, sex and time since baseline scan. Annual rates of
14 change, 95% confidence intervals and effect sizes (-1 to 1 scale) were reported.

15 A second set of analyses was done to evaluate whether combinations of tau ROIs into a
16 composite could maximize the relationship between FTP PET and global $A\beta$ PET as well as the
17 longitudinal change of FTP PET. For these analyses, A4/LEARN was used for tau ROI selection
18 and ADNI and HABS were used for optimization and validation. In a 1st step using the
19 A4/LEARN cohort, global $A\beta$ PET SUVR was regressed on all 35 tau ROIs simultaneously
20 using the least absolute shrinkage and selection operator (LASSO),²⁶ to select a sparse group of
21 tau ROIs that maximized the joint association with global $A\beta$ PET. In a 2nd step, this group of tau
22 ROIs was then used in ADNI to find optimal ROI weights to form a FTP PET composite that
23 would maximize the effect size of change over time. In a 3rd step, the HABS cohort was used to
24 validate the optimized tau composite. The 2nd and 3rd steps were then repeated using HABS to
25 optimize and ADNI to validate.

26 The optimization procedure was done using quasi-Newton constrained optimization²⁷ to identify
27 the weighted linear combination of tau ROIs that would maximize the effect size of change over
28 time. Weights were constrained to the interval [0, 1].

29 The accumulation rates of the tau ROIs are likely to evolve over the course of $A\beta$ accumulation
30 with one set of tau regions having the highest rates in participants with low or medium levels of
31 $A\beta$ deposition and a different set of tau regions having the highest accumulation rates in

1 participants with highly elevated levels of A β . To identify these different groups of tau ROIs and
2 assess their evolving importance, local regression was used to estimate each ROI's continuously
3 changing weight throughout the spectrum of global A β PET. The optimization procedure was
4 repeated within a moving window over global A β PET at baseline. At each iteration,
5 optimization weights for the tau ROIs were estimated giving the most importance to the
6 participants with baseline A β PET levels in the center of the window, resulting in continuously
7 changing weights across the spectrum of baseline A β PET. This optimization procedure was
8 done in ADNI and validated in HABS and vice versa.

9 To assess and validate the performance of the optimized FTP PET composites, sample size
10 calculations were done for various clinical trial scenarios using the optimized composite, a
11 temporal lobe composite, and a global tau composite as outcome measures. The required sample
12 size to achieve 80% power using (i) the optimized composite, (ii) a temporal lobe composite
13 comprising an equal weight combination of the entorhinal cortex, amygdala, parahippocampal
14 gyrus, fusiform, and inferior and middle temporal lobe,²⁸ and (iii) a global composite comprising
15 an equal weight combination of all 35 ROIs were compared. Sample size estimates are shown for
16 18 and 24 month trials, with tau scans done every 12 months (every 9 months for 18 month
17 trials), over a range of assumed treatment effect sizes (20-50%), an assumed 25% dropout rate,
18 and at three levels of baseline A β PET, 20-40 centiloids (CL) (1.1 to 1.23 SUVR in ADNI; 1.22
19 to 1.40 in HABS), 30-50 centiloids (1.16 to 1.28 SUVR in ADNI; 1.31 to 1.50 in HABS), and
20 40+ centiloids (>1.22 SUVR in ADNI; >1.40 SUVR in HABS).²⁰ These centiloid levels were
21 selected given the current design of prevention trials, with some approaches geared toward the
22 selection of clearly elevated A β + CU,¹⁸ and others geared toward CU with intermediate levels of
23 amyloid PET values (AHEAD3-45, NCT04468659). FTP PET accumulation in participants with
24 low A β levels (<10 CLs) was also evaluated. In ADNI, <10 CLs corresponded to A β PET SUVR
25 < 1.05 and in HABS, A β PET SUVR < 1.13. Sample size calculations were done using
26 *lmpower* from the *longpower* R package (Iddi et al, The R Journal, in press).

27 Missingness was assessed by regressing a missing indicator for FTP PET on interactions
28 between demographics and A β -positivity with time, using logistic mixed effects regression.
29 Cohort characteristic associations with baseline A β status were assessed using Wilcoxon rank-
30 sum test for continuous variables and Fisher's Exact test for categorical variables. All analyses
31 were done in R v4.1.1 (www.r-project.org).

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Data availability

All data are publicly available.

Results

Cohort Characteristics

A total of 1057 participants were included, with 447 from A4/LEARN (392 A β +, 55 A β -), 420 from ADNI (149 A β +, 271 A β -), and 190 from HABS (59 A β +, 131 A β -). The A β + groups were older, had a higher frequency of *APOE* ϵ 4-positivity and performed significantly worse on several cognitive tests at baseline, compared to A β - groups (Table 1).

Regional ¹⁸F-Flortaucipir in A4/LEARN

Effect sizes for the relationship between global A β and each tau PET ROI are shown in Figure 1. Fusiform gyrus, parahippocampal gyrus, and entorhinal cortex showed the strongest correlation with A β , followed closely by inferior temporal cortex, inferior parietal cortex, precuneus, amygdala, and middle temporal cortex. Plots of regional FTP PET SUVR by global A β PET SUVR are also shown in Figure 1 (lower right), as well as the first derivative of these curves – showing the change in slope of the FTP PET curves for incremental increases of A β . Note the high increase in the slope for FTP PET in the entorhinal cortex and amygdala compared with all other regions (Figure 1, lower right).

Penalized regression with the LASSO was then used to select a sparse set of FTP PET ROIs that would jointly maximize the association between tau PET and A β . Seven ROIs were selected: entorhinal cortex, parahippocampal gyrus, fusiform, inferior parietal cortex, precuneus, inferior temporal cortex, and amygdala. These seven selected regions were then assessed further in ADNI and HABS to find and optimize composite weights.

Regional ¹⁸F-Flortaucipir in ADNI and HABS

In ADNI, 284 participants had one FTP PET scan, 88 had two scans, 42 had three scans and six had four or more scans. Among the 136 participants with multiple scans, A β - participants were followed for 1.73 years on average (range, 0.59 to 3.70), which was comparable to A β +

1 participants, followed for 1.61 years (range, 0.77 to 3.62, $p=0.28$). Demographics were not
2 associated with missing tau PET over time, age (OR=0.98, $p=0.11$), sex (OR=0.90, $p=0.53$),
3 education (OR=1.00, $p=0.95$). A β positivity was associated with a lower likelihood of missing a
4 tau scan (OR=0.52, $p<0.001$).

5 In HABS, 62 participants had one FTP PET scan, 108 had two scans, and 20 had three scans.
6 Among the 128 participants with multiple scans, A β - participants were followed for 2.52 years
7 on average (range, 1.25 to 5.56), which was comparable to A β + participants, followed for 2.32
8 years (range, 1.20 to 4.90, $p=0.67$). Neither sex (OR=1.09, $p=0.14$), education (OR=1.03,
9 $p=0.11$), nor A β -positivity (OR=1.05, $p=0.71$) were associated with missing tau PET over time.
10 A one standard deviation increase in age at baseline was associated with a higher likelihood of
11 missing tau PET over time (OR=1.14, $p=0.04$).

12 Annual rates and effect sizes of longitudinal change in FTP PET in ADNI and HABS A β +
13 participants are shown in Figure 2. The largest effect sizes in both cohorts were in the inferior
14 temporal cortex, middle temporal cortex and fusiform gyrus, with the inferior temporal cortex a
15 clear standout. Of the top 10 highest rate ROIs, the cohorts had seven in common: inferior and
16 middle temporal cortex, fusiform gyrus, amygdala, inferior parietal lobe, banks of the superior
17 temporal sulcus, and the lateral occipital lobe.

18 19 *Locally Optimized Composite Weights*

20 To assess how the joint importance of each ROI changes with continuously increasing baseline
21 global A β PET, local composite weights were estimated. Local weights for the seven ROIs
22 selected from A4/LEARN that optimize the effect size of longitudinal change are plotted against
23 baseline A β for ADNI and HABS in the top row of Figure 3. Four regions had nonzero weights:
24 the inferior temporal cortex, amygdala, fusiform gyrus, and the entorhinal cortex. Note the
25 dominance of the inferior temporal cortex in both cohorts, as well as the increasing weight of the
26 fusiform gyrus at higher levels of baseline A β in both cohorts.

27 The combined composite weights across ADNI and HABS were 99% inferior temporal cortex
28 and 1% entorhinal cortex for 20-40 CL; 95% inferior temporal cortex and 5% amygdala for 30-
29 50 CL; and 53% inferior temporal cortex, 31% amygdala, and 16% fusiform for 40+ CL. The
30 composite rates using the corresponding local weights are plotted against baseline A β in the
31 bottom row of Figure 3.

1

2 *Required Effect Size and Sample Size for Clinical Trials*

3 The effect sizes required to achieve 80% power with a given sample size for 18 and 24-month
4 trials are plotted in Figure 4. Optimized composites using local weights are compared to a
5 temporal lobe composite and a global tau composite in three ranges of baseline A β PET (20-40
6 centiloids, 30-50 centiloids, and 40+ centiloids). At levels of baseline A β in the 20-40 centiloid
7 range, a sample size of 200 per group required a drug effect of just below 0.40 (40% slowing of
8 tau accumulation compared with placebo) in ADNI and just below 0.45 in HABS, for an 18-
9 month trial using the optimized composite. Neither the temporal nor global composite reached
10 80% with 200 per group with an effect size under 0.5. In the low and intermediate baseline A β
11 centiloid ranges (20-40, 30-50), the temporal composite required a 50-100% larger sample size
12 compared with the optimized composite. In the highest baseline A β centiloid range (40+), the
13 advantage of the optimized composite over the temporal lobe composite diminished with the
14 temporal composite requiring an additional 30% in sample size compared with the optimized
15 composite in HABS and no difference between the two composites in ADNI.

16

17 *Inferior Temporal Cortex*

18 With the observation that the inferior temporal cortex was the strongest accumulating region in
19 both cohorts and dominated the weight of the composite, post hoc analyses of sample size and
20 required treatment effect using the inferior temporal cortex alone were done. The required effect
21 size and sample size estimates to achieve 80% power were similar when using the optimized
22 composite and the inferior temporal cortex alone. Slight differences of 0.01 to 0.02 in required
23 effect size for a given sample size were seen in the 40+ centiloid range. In ADNI, there was a
24 slight advantage with the optimized composite and in HABS there was a slight advantage with
25 the inferior temporal cortex.

26

27 *Tau Accumulation in Participants with A β < 10 Centiloids*

28 In ADNI, 122 participants had low baseline A β PET levels (< 1.05 florbetapir SUVR, or
29 approximately < 10 centiloids). There were no ROIs with significant accumulation (p-
30 values>0.21). The largest effect sizes, though not significant, were in the inferior temporal cortex
31 (β =0.005 SUVR/year, p=0.21, effect size (ES)=0.15), middle temporal cortex (β =0.005, p=0.23,

1 ES=0.15), entorhinal cortex ($\beta=0.005$, $p=0.25$, ES=0.14), lateral occipital cortex ($\beta=0.005$,
2 $p=0.27$, ES=0.13), and banks of the superior temporal sulcus ($\beta=0.006$, $p=0.29$, ES=0.12).

3 In HABS, 44 participants had low baseline A β PET levels (< 1.13 PIB SUVR, or approximately
4 < 10 centiloids). There were no ROIs with significant accumulation (p -values >0.21). The largest
5 effect sizes, though not significant, were in the inferior temporal cortex ($\beta=0.004$, $p=0.18$, effect
6 size (ES)=0.24), pars orbitalis ($\beta=0.005$, $p=0.20$, ES=0.22), pars triangularis ($\beta=0.004$, $p=0.26$,
7 ES=0.20), middle temporal cortex ($\beta=0.003$, $p=0.31$, ES=0.18), and caudal anterior cingulate
8 ($\beta=0.003$, $p=0.41$, ES=0.15).

10 *Cerebral White Matter Reference Region*

11 Effect sizes of longitudinal change in the seven ROIs selected from A4/LEARN were similar
12 when using a grey or white matter reference region in A β + participants (Figure 5). However,
13 effect sizes of change in FTP PET among participants with low baseline A β levels (< 10
14 centiloids) were larger and significantly greater than zero in several ROIs when using the white
15 matter reference region (Figure 5).

16 In ADNI, participants with low baseline A β levels showed significant accumulation in the
17 inferior temporal cortex ($\beta=0.006$, $p=0.007$, ES=0.33), entorhinal cortex ($\beta=0.006$, $p=0.01$,
18 ES=0.31), and fusiform gyrus ($\beta=0.004$, $p=0.02$, ES=0.28), but not in the amygdala ($\beta=0.004$,
19 $p=0.18$, ES=0.15). This is in contrast to the lack of significant results when using the grey matter
20 reference region in participants with low baseline A β levels.

21 In HABS, participants with low baseline A β levels showed significant accumulation in the
22 inferior temporal cortex ($\beta=0.005$, $p=0.01$, ES=0.42), but not in the entorhinal cortex ($\beta=0.003$,
23 $p=0.22$, ES=0.22), fusiform gyrus ($\beta=0.002$, $p=0.36$, ES=0.16), or amygdala ($\beta=0.001$, $p=0.73$,
24 ES=0.06).

26 **Discussion**

27 There was consensus across the three cohorts regarding sites of the strongest associations of FTP
28 PET with baseline A β levels (A4/LEARN) and high rates of tau accumulation over time (ADNI,
29 HABS). The inferior temporal cortex, fusiform gyrus and middle temporal cortex had the largest
30 effect sizes of accumulation in both ADNI and HABS, when considered individually. When tau
31 ROIs were combined to find weights to maximize the effect size of tau change over time, both

1 ADNI and HABS exhibited a similar pattern – inferior temporal cortex, almost exclusively, was
2 optimal for participants with mildly elevated $A\beta$ levels. In participants with intermediate and
3 high levels of $A\beta$, the composite weight for the inferior temporal cortex decreased as the weight
4 for the fusiform gyrus increased, in both longitudinal cohorts. Specific to ADNI, the composite
5 weight for the amygdala also increased in participants with higher $A\beta$ levels. However, the
6 increased weights for the fusiform and amygdala made only a negligible difference on the
7 magnitude of the effect size compared with inferior temporal cortex alone. In the validation
8 samples, the optimized composites had higher rates and effect sizes of change compared with the
9 temporal and global composites in all cases except in ADNI participants with the highest $A\beta$
10 levels, in which the optimized and temporal composites performed similarly. The increased
11 effect sizes captured by the optimized composite, driven by the inferior temporal cortex, resulted
12 in decreased required treatment effect sizes and sample sizes in all three $A\beta$ groups (20-40 CL,
13 30-50 CL, 40+ CL) in HABS and in the 20-40 and 30-50 CL groups in ADNI.

14 At high baseline levels of $A\beta$, the temporal and optimized composite approached the same effect
15 size, indicating that the combination of regions in the temporal composite had converged to the
16 same magnitude of effect. The largest effect size of FTP PET accumulation in preclinical
17 Alzheimer's disease may be captured by the inferior temporal cortex alone, though the increasing
18 weights of additional regions suggest that as participants progress, accumulation may further
19 accelerate starting with the fusiform gyrus and possibly the amygdala.

20 Rate and effect size estimates in high accumulation ROIs were similar whether using a grey
21 matter or white matter reference region in $A\beta+$ participants. In low $A\beta$ participants (< 10 CL),
22 significant accumulation was only observed when using a white matter reference, while none of
23 the ROIs showed significant accumulation when using a grey matter reference region.

24 The ROIs selected in A4/LEARN for their joint cross-sectional association with global $A\beta$ were
25 among the highest longitudinally accumulating regions for both ADNI and HABS. The inferior
26 temporal cortex, fusiform gyrus, and entorhinal cortex have been consistently identified as
27 potential sites of early and rapid tau accumulation, although to varying degrees.^{15,29–35} In
28 addition, Jack et al, Harrison et al, Pontecorvo et al, reported strong posterior and isthmus
29 cingulate tau accumulation. Posterior cingulate and isthmus cingulate tau accumulation was less
30 consistent in ADNI and HABS with little accumulation in these regions observed in HABS and
31 intermediate levels of accumulation observed in ADNI (Figure 2). Harrison et al also reported

1 high accumulation rates in the isthmus cingulate and inferior frontal gyrus, in addition to the
2 inferior temporal cortex, and entorhinal cortex, in both PiB positive and negative older
3 cognitively unimpaired adults.

4 Post-mortem studies identify the medial temporal lobe, specifically the transentorhinal region, as
5 the first site of neurofibrillary tangles.³⁶ Cross-sectional PET studies have also shown the
6 entorhinal cortex to be one of the earliest sites of tau accumulation³⁷ as well as a strong predictor
7 of cognitive impairment.⁷ Although the entorhinal cortex was among the most strongly
8 correlated regions with global A β in the cross-sectional setting of A4/LEARN, it was not among
9 the highest accumulating regions longitudinally in either ADNI or HABS and contributed
10 minimally to the composites (0-5% of the composite weight in HABS). This low weighting of
11 the entorhinal cortex when combining tau signal across multiple ROIs coincides with Jack et al,
12 where despite differences in accumulation rates between A β + and A β - groups, only the fusiform
13 gyrus and posterior cingulate were selected as the optimal joint classifier of A β status. This was
14 somewhat unexpected given the role of the entorhinal cortex in early tau accumulation, although
15 large differences in entorhinal tau between A β positive and negative groups observed cross-
16 sectionally may represent a lifetime of moderate accumulation rather than a high rate of
17 accumulation over a short period of two or three years. Sanchez et al used mixture models to
18 estimate the frequency of regions with elevated tau in CU participants with low and high A β ,
19 separately. In a region capturing Brodmann Area (BA) 35 as well as portions of BA36 and lateral
20 entorhinal cortex, termed the “rhinal cortex”, elevated tau occurred more frequently compared to
21 all other ROIs in high A β participants. The parahippocampal gyrus was the 2nd most frequent
22 ROI with elevated tau, with only a handful of participants with elevated tau in inferior temporal
23 cortex and fusiform gyrus. However, in these same participants, the inferior temporal cortex had
24 a higher accumulation rate than both the rhinal cortex and the parahippocampal gyrus,
25 highlighting the difference between the rate of accumulation over time and the frequency of
26 elevated levels beyond a threshold. The pattern in low A β participants was similar, with the
27 highest frequency of elevated tau in the rhinal cortex and 2nd highest in the parahippocampal
28 gyrus and no participants with elevated inferior temporal cortex tau levels. In these same low A β
29 participants, inferior temporal cortex and rhinal cortex showed the same, strongly significant rate
30 of accumulation. The fusiform gyrus also showed significant accumulation while accumulation
31 in the parahippocampal gyrus was not significant. Despite frequently elevated levels of tau in the

1 entorhinal cortex and parahippocampal gyrus, these elevations do not necessarily translate to
2 rapid rates of accumulation in participants with either low or high A β . This early accumulation of
3 tau in medial temporal regions such as the entorhinal cortex and parahippocampal gyrus may
4 represent a different process compared with the later, more rapid accumulation in the inferior
5 temporal cortex. The former may or may not lead to AD, limited temporal lobe tau accumulation,
6 symptomatic primary age-related tauopathy, or another tauopathy altogether.³⁸
7 In A4/LEARN cross-sectional data, the immediate increase in entorhinal cortex tau levels with
8 incremental increases of global A β starting from the lowest A β levels appear in contrast to other
9 regions, except the amygdala (Figure 1). The entorhinal cortex and amygdala appear
10 systematically different with early linear increases whereas other regions show a more gradual
11 increase until well into the A β + range. This pattern is clear in the plot of first derivatives (Figure
12 1). However, the amygdala had a higher accumulation rate compared with the entorhinal cortex
13 in both ADNI and HABS, and was heavily weighted in the ADNI FTP PET composite at
14 intermediate to high levels of baseline A β . The weights for the amygdala remained near zero at
15 all levels of A β in HABS, despite the amygdala being among the five fastest accumulating
16 regions in A β + participants (Figure 2). However, the ADNI-optimized composite, with 40% of
17 the signal coming from the amygdala at intermediate/high levels of A β , performed well in
18 HABS. Tau accumulation in the amygdala has not been well characterized, despite evidence of
19 increased rates occurring early and prior to cognitive impairment.^{33,35,39,40}

20 In hypothetical clinical trial scenarios, the temporal composite required a larger sample size for
21 a given treatment effect to achieve 80% power compared to the optimized composite, in all
22 scenarios except the highest A β participants in ADNI. While the temporal composite included
23 the highest accumulating regions in both cohorts (inferior and middle temporal cortex, fusiform,
24 amygdala), it also included the entorhinal cortex, and parahippocampal gyrus, reducing the rate
25 and effect size, particularly in participants with only mildly elevated or intermediate levels of
26 A β . The temporal composite required a 50 to 80% larger sample size compared with the
27 optimized composite in the 20-40 and 30-50 CL groups in both cohorts. In the 40+ CL groups,
28 the temporal composite required a 35% increase in sample size compared to the optimized
29 composite in HABS, while there was no difference between the temporal and optimized
30 composites in ADNI. The global composite failed to reach 80% power for most scenarios and
31 require larger sample sizes and treatment effects than either the temporal or optimized

1 composite.

2 In participants with the lowest A β levels (< 10 CL), the only region observed to significantly
3 accumulate tau in both longitudinal cohorts was the inferior temporal cortex, and was only
4 significant when using the white matter reference region. Entorhinal cortex and fusiform gyrus
5 were also significant in ADNI, but again only with the white matter reference region. The rate of
6 inferior temporal cortex tau accumulation was 0.005 SUVR/year (p=0.21) when using the grey
7 matter reference region and 0.006 SUVR/year (p=0.007) when using the white matter reference
8 region. While there is a 20% rate increase with the white matter reference, the main difference
9 appears to be the effect size, due to decreased variance with the white matter reference region.
10 Though nonsignificant, the estimate of accumulation with the grey matter reference is not
11 negligible, and along with the significant estimates using the white matter reference region,
12 supports the notion of accumulating tau in participants with low A β , and in some cases may be
13 related to primary age-related tauopathy³⁸ or suspected non-Alzheimer's disease pathology.⁴¹

14 A limitation of this study is the difficulty in assessing hippocampal tau due to the known off-
15 target binding that occurs with the ¹⁸F-Flortaucipir ligand. It is possible that the hippocampus is
16 an important contributor to a composite of early tau accumulation in preclinical AD. It is also
17 unknown whether off-target binding plays a role in the significant estimates of tau accumulation
18 in participants with low A β . Although accumulation in the same regions in participants with
19 elevated A β is supported by neuropathology studies, less is known about tau accumulation in
20 participants without significant A β deposition. It is also possible that age-related mineralization
21 inflates the estimates of accumulation.^{15,42} FTP PET values are relatively low in preclinical
22 Alzheimer's disease. It is possible that with further follow-up, additional sites of tau
23 accumulation beyond the temporal lobe may become important to consider for a composite
24 endpoint, especially in participants with highly elevated A β and an increasing likelihood of
25 cognitive impairment.

26 Cerebrospinal fluid (CSF) tau has been proposed as an earlier marker of tau pathology compared
27 with tau PET.⁴³ By showing that a higher proportion of individuals were CSF tau-positive
28 compared to the proportion that were tau PET-positive, at a selected threshold cross-sectionally,
29 observable changes in CSF tau were argued to precede observable changes in tau PET. With
30 similar methods,³⁴ the entorhinal cortex was shown to have a higher proportion of participants
31 with abnormal levels of tau compared to other regions, despite having a slower accumulation rate

1 (compared to inferior temporal cortex, for example) during follow-up. Thus, the high proportion
2 of biomarker-positive individuals suggesting early change does not necessarily translate to a
3 rapid short-term accumulation rate that would provide the effect size to power a clinical trial. A
4 head-to-head comparison between longitudinal CSF and longitudinal tau PET will be important
5 to evaluate the comparative power for a clinical trial application. Additionally, spatial
6 information may be important to evaluate a treatment effect, which would need to be done via
7 tau PET.

8 The focus of early tau accumulation has been on the medial temporal lobe, resulting from the
9 repeated observation that the entorhinal cortex is the first region to show abnormal levels of tau
10 with age. However, these abnormal levels do not appear to be the result of a high rate of
11 accumulation in the short term, but possibly a more moderate rate occurring early with respect to
12 age. The inferior temporal cortex, a site of both A β deposition as well as tau accumulation, may
13 act as a central hub for rapid, widespread tau propagation due to local A β -tau interaction.⁴⁴ In
14 both ADNI and HABS, the inferior temporal cortex showed a two to three-fold rate and effect
15 size increase compared with both the entorhinal cortex and parahippocampal gyrus in
16 participants with elevated A β . While the entorhinal cortex plays a central role in the early
17 appearance of tau, it may be the inferior temporal cortex that is the critical region for rapid tau
18 accumulation in preclinical AD.

19

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1 [content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf](#). (also available as
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3

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7

8 **Competing interests**

9 The authors report no competing interests.

10

11

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ACCEPTED MANUSCRIPT

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- 26
27

1 **Figure Legends**

2 **Figure 1 Regional ^{18}F -Flortaucipir in A4/LEARN.** Effect sizes on a correlation scale (-1 to 1)
3 and 95% confidence intervals for the relationship between regional tau PET and global $\text{A}\beta$ are
4 shown on the left. The same effect sizes are depicted in the upper right. Plots of tau PET SUVR
5 by global $\text{A}\beta$ with 95% confidence intervals in shaded grey are shown for several ROIs on the
6 lower right. The first derivatives of the tau PET curves showing the change in slope of the tau
7 curves for incremental increases of $\text{A}\beta$ are plotted on the bottom right.

8
9 **Figure 2 Longitudinal ^{18}F -Flortaucipir PET in ADNI and HABS $\text{A}\beta^+$ participants.** Annual
10 rates and effect sizes and 95% confidence intervals for all 35 ROIs are shown. Effect sizes (-1 to
11 1 scale) are color-coded.

12
13 **Figure 3 Locally Estimated Composite Weights and Corresponding Rates.** Locally estimated
14 composite weights for each of the seven ROIs selected in A4/LEARN with nonzero weights are
15 plotted in the top row. The corresponding longitudinal rates for the weighted composites are
16 plotted in the bottom row. Rates were scaled to the mean and standard deviation of the composite
17 in the $\text{A}\beta^-$ group. The threshold for $\text{A}\beta$ positivity is represented by the dotted black line for each
18 cohort.

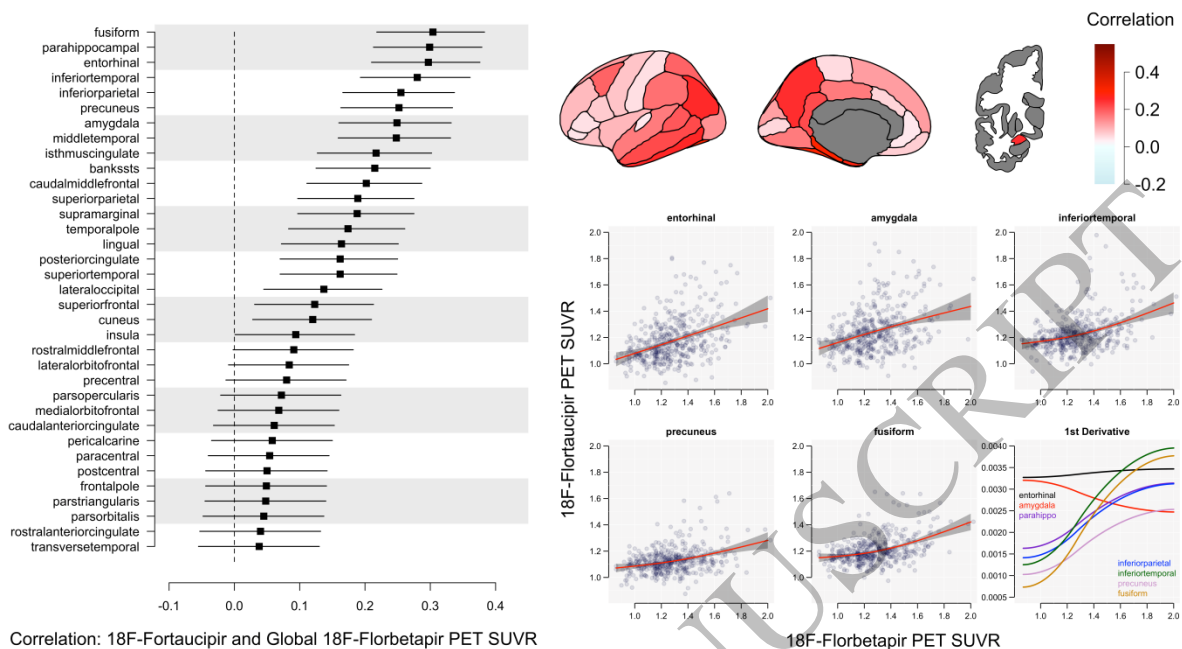
19
20 **Figure 4 Required Treatment Effect and Sample Size Calculations.** Required treatment effect
21 sizes are plotted against sample sizes in 18 and 24-month trials at three levels of baseline $\text{A}\beta$.
22 The plotted curves show the combinations of required treatment effect and sample size to
23 achieve 80% power for the three composites – the optimized composite in purple, the temporal
24 composite in orange, and the global composite in dashed black. In the bottom row, the temporal
25 composite is barely visible, but is plotted underneath the optimized composite for ADNI.

26
27 **Figure 5 White Matter Reference Region.** Accumulation rates and effect sizes of longitudinal
28 change in the seven ROIs selected from A4 using the cerebral white matter reference region are
29 shown for $\text{A}\beta^+$ participants in the top row and low $\text{A}\beta$ (< 10 CL) participants in the middle row.
30 Effect sizes using the grey matter reference region are plotted against the effect sizes using the
31 white matter reference region in the bottom row, in $\text{A}\beta^+$ and low $\text{A}\beta$ participants, separately. The
32 identity line is shown in dashed black.

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9**Table 1 Baseline Characteristics**

Characteristic	A β +	A β -	p-value
A4	N=392	N=55	
Age	72.1 (4.8)	69.7 (4.3)	<0.001
Female, n (%)	225 (57.4)	32 (58.2)	>0.99
Education, years	16.2 (2.9)	16.6 (2.8)	0.29
APOE ϵ 4+, n (%)	220 (57.1)	14 (25.5)	<0.001
MMSE	28.6 (1.4)	29.0 (1.0)	0.05
Logical Memory Delayed Recall	11.4 (3.4)	13.0 (3.1)	0.002
ADNI	N=149	N=271	
Age	75.1 (7.4)	71.9 (6.9)	<0.001
Female, n (%)	92 (61.7)	158 (58.3)	0.53
Education, years	16.6 (2.4)	16.9 (2.3)	0.22
APOE ϵ 4+, n (%)	74 (52.1)	64 (25.0)	<0.001
MMSE	28.9 (1.4)	29.2 (1.1)	0.03
Logical Memory Delayed Recall	13.4 (3.7)	13.5 (3.7)	0.82
HABS	N=59	N=131	
Age	77.9 (6.0)	75.7 (6.4)	0.01
Female, n (%)	35 (59.3)	77 (58.8)	>0.99
Education, years	16.4 (2.8)	16.0 (3.2)	0.57
APOE ϵ 4+, n (%)	35 (61.4)	18 (13.7)	<0.001
MMSE	29.0 (1.1)	29.3 (1.0)	0.04
Logical Memory Delayed Recall	15.6 (3.6)	16.4 (3.7)	0.15



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Figure 1
417x224 mm (.31 x DPI)

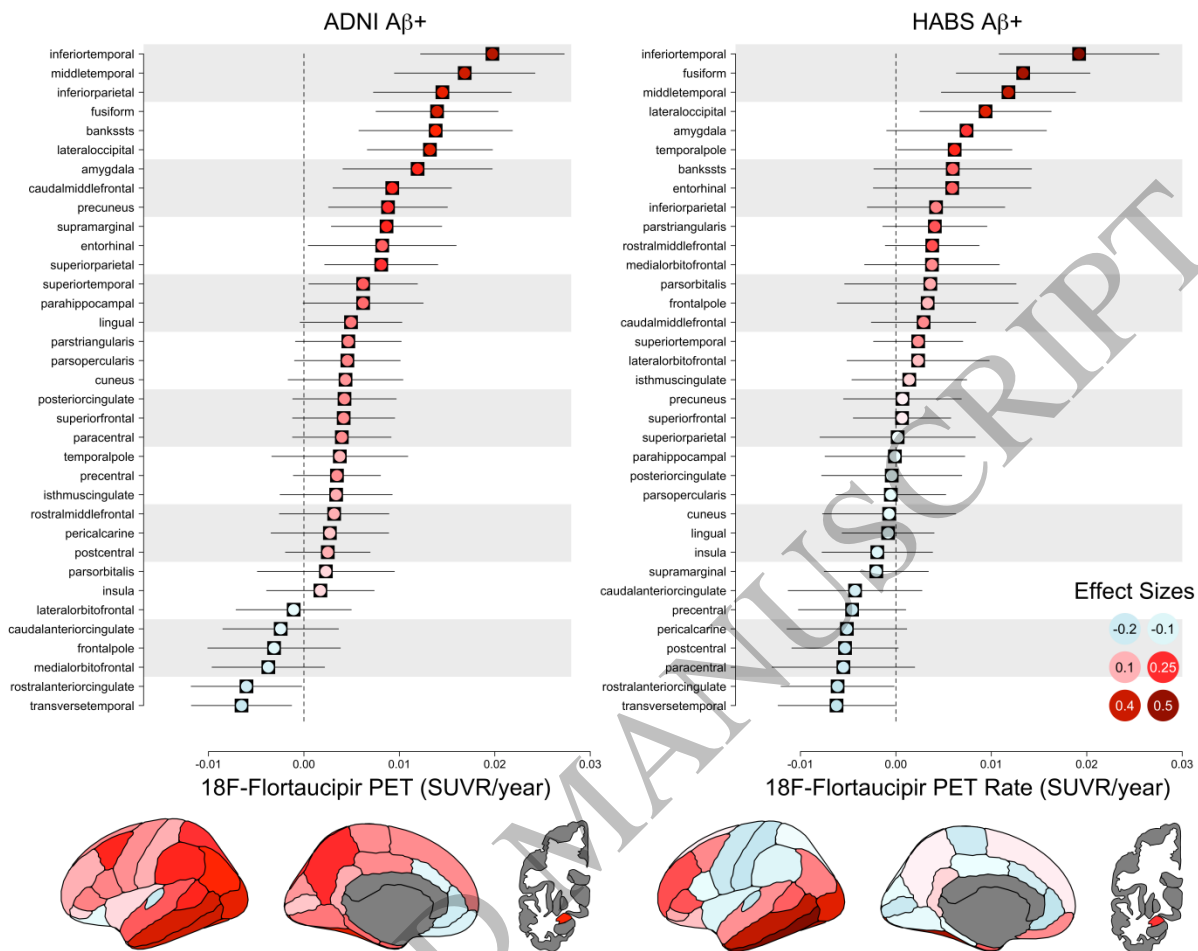


Figure 2
559x435 mm (.31 x DPI)

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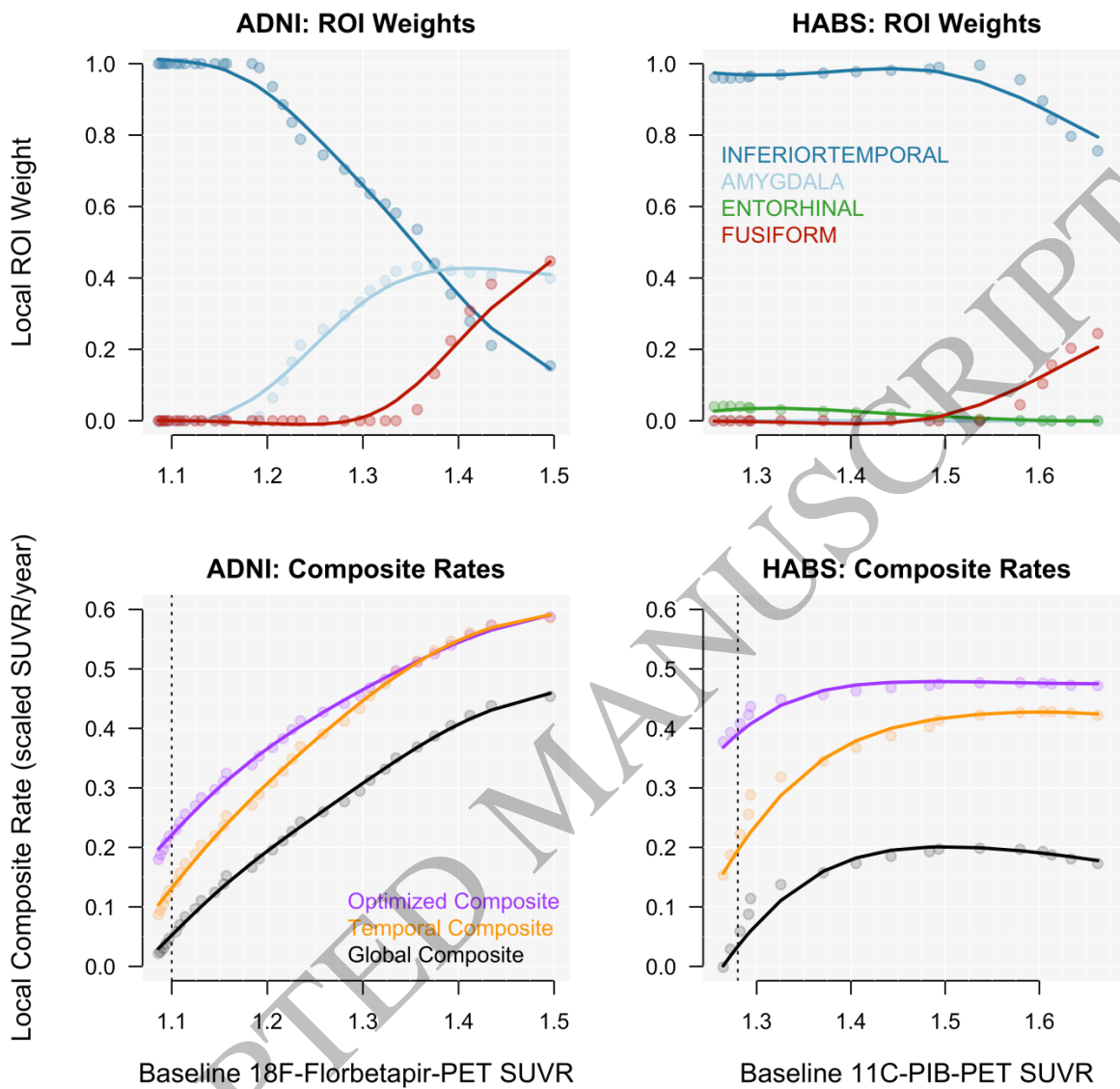


Figure 3
559x522 mm (.31 x DPI)

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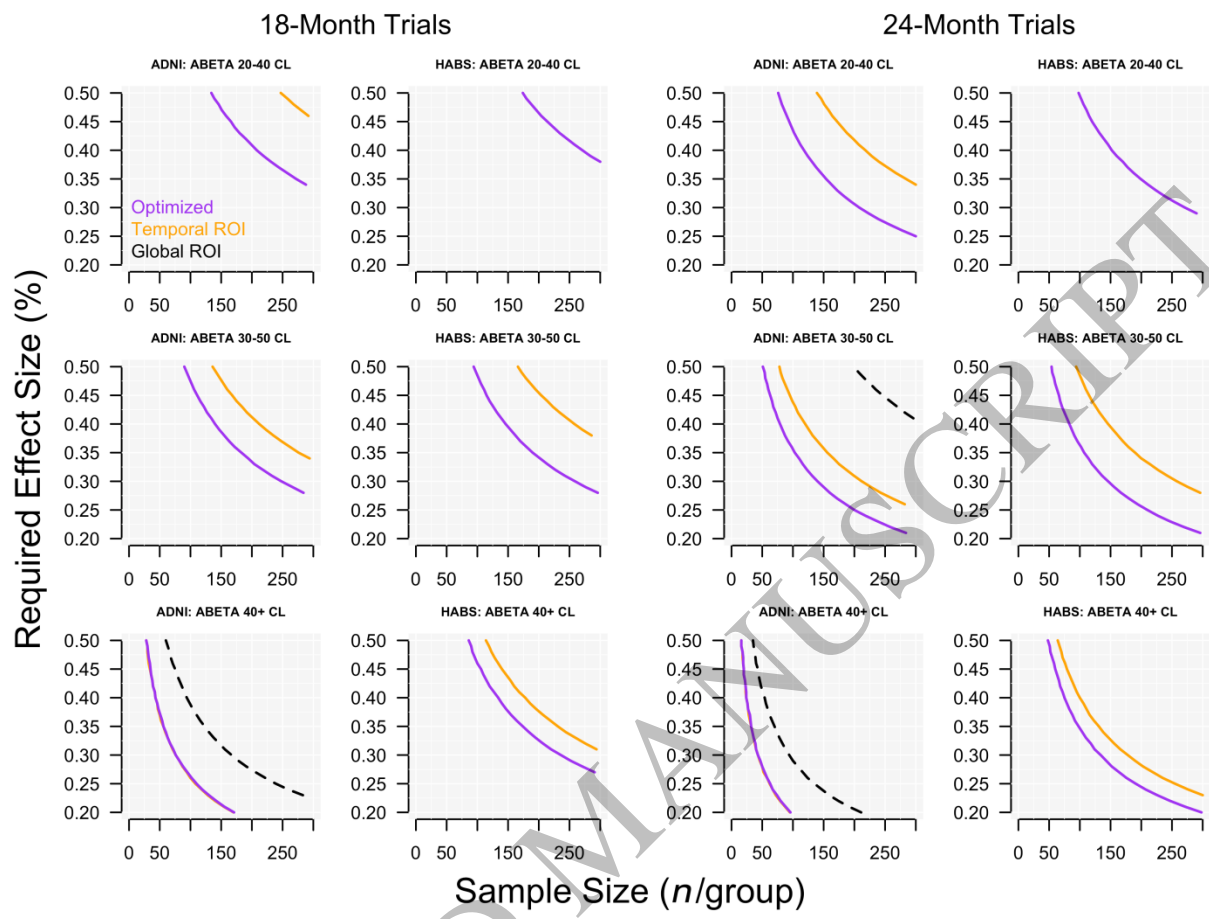


Figure 4
559x410 mm (.31 x DPI)

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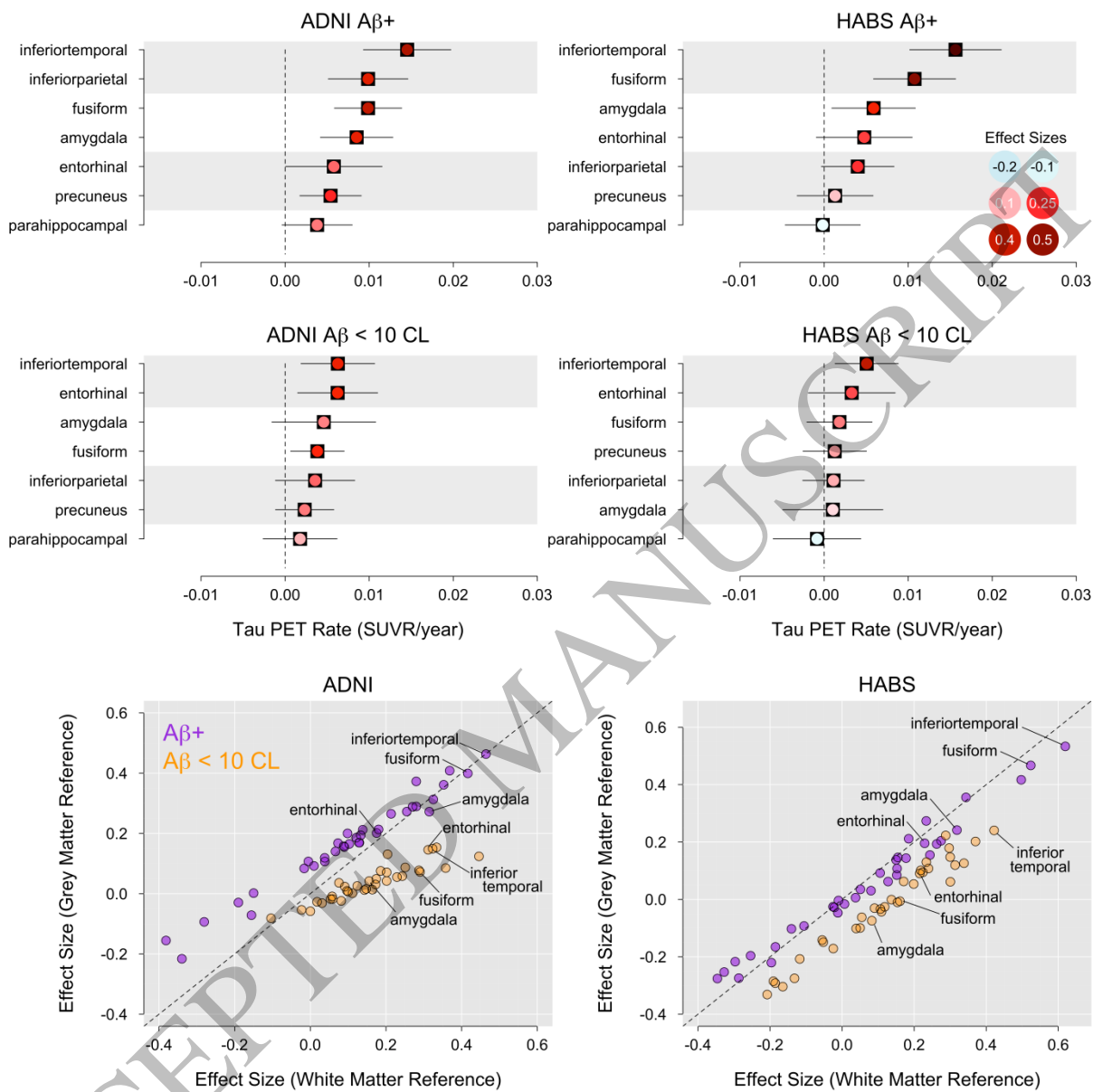


Figure 5
559x559 mm (.31 x DPI)

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